



Privacy Notice – EMPLOYEES, WORKERS, CONTRACTORS & VOLUNTEERS

This privacy notice advises employees, workers, self-employed staff and/or contractors, Trustees, governors and volunteers of the school's data protection responsibilities on the collection and processing of their personal information.

We collect and process your personal data to assist in the running of the Trust and to manage the employment relationship of, or otherwise manage, those who are engaged to work or perform services for us.

We are committed to being transparent about how we collect and use that data and to meeting our data protection obligations.

We are required to explain how and why we collect such data and what we do with that information. This notice will also provide information as to what you can do about your personal information that is held and processed with us.

We have appointed Carole Connelly as the Data Protection Officer and the person with responsibility for ensuring that individuals' personal information is held and processed in the correct way. She can be contacted at Carole@schoolDPOservice.com. Questions about this policy, or requests for further information, should be directed to her.

What is personal information and what does processing mean?

Personal information is any information that relates to you and can be used directly or indirectly to identify you.

Personal information and processing are defined as follows:

- Personal data means any information relating to an identified or identifiable natural person (data subject). An identifiable natural person is one who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, an online identifier or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of that natural person (GDPR article 4).
- Special categories of personal data means information about an individual's racial or ethnic origin, political opinions, religious or philosophical beliefs, trade union membership, health, sex life or sexual orientation and biometric/genetic data (GDPR article 9).
- Processing means any operation or set of operations which is performed on personal data or on sets of personal data, whether or not by automated means, such as collection, recording, organisation, structuring, storage, adaptation or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, restriction, erasure or destruction (GDPR article 4).

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Data protection principles

We process personal data about our individuals in accordance with the following data protection principles:

- We process personal data lawfully, fairly and in a transparent way.
- We collect personal data only for specified, explicit and legitimate purposes.
- We process personal data only where it is adequate, relevant and limited to what is necessary for the purposes of processing.
- We keep accurate personal data and take all reasonable steps to ensure that inaccurate personal data is rectified or deleted without delay.
- We keep personal data only for the period necessary for processing.
- We adopt appropriate measures to make sure that personal data is secure, and protected against unauthorised or unlawful processing, accidental loss, destruction or damage.

In our privacy notices, we tell individuals the reasons for processing their personal data, how we use such data and the legal basis for processing. We will not process personal data of individuals for reasons other than the stated purpose or purposes.

Where we process special categories of personal data or criminal records data to perform obligations, this is done in accordance with a policy, or for legal reasons. We will update personal data promptly if an individual advises that his/her information has changed or is inaccurate.

Our legal basis (grounds) for using your personal data

There are several reasons why we hold, process and share individuals' personal data. Under data protection laws, the lawful reasons for processing personal data include:

- Consent.
- For the performance of a contract.
- To comply with a legal obligation.
- To protect the vital interests of the individual or another person.
- For a task carried out in the public interest.
- For a legitimate interest of the school or one of the organisations it shares data with (eg legal adviser) except where those rights are overridden by the interests or fundamental rights and freedoms of the data subject which require protection.

Sometimes the handling of your personal data falls within several of the above lawful grounds.

Consent

We may ask for your consent to use your information in certain ways (eg. use of photographs for the school website, occupational health referrals, reference requests). If we ask for your consent to use

your personal data, you can take back this consent at any time. Any use of your information before you withdraw your consent remains valid.

Performance of a contract

We need to process data to enter into an employment contract or other contract of engagement with you and to meet our obligations under such contract. For example, we need to process your data to provide you with a contract, to pay you in accordance with your contract and to administer benefit, pension and insurance entitlements.

Your personal data, where it is reasonable and necessary to do so, may also be shared with other professionals contracted by the Trust, such as legal and professional advisers or HR providers.

Other examples include:

- We operate and keep a record of absence and absence management procedures, to allow effective workforce management and to ensure that employees are receiving the pay or other benefits to which they are entitled.
- We obtain occupational health advice, to ensure that we comply with duties in relation to individuals with disabilities, meet our obligations under health and safety law, and ensure that employees are receiving the pay or other benefits to which they are entitled.
- We operate and keep a record of other types of leave (including maternity, paternity, adoption, parental and shared parental leave), to allow effective workforce management, to ensure that we comply with contractual or legal duties in relation to leave entitlement, and to ensure that employees are receiving the pay or other benefits to which they are entitled.
- Maintain accurate and up-to-date employment records and contact details (including details of who to contact in the event of an emergency) and records of employee contractual and statutory rights.
- Ensure effective general HR and business administration.
- To obtain advice and guidance.

Legal obligation

In some cases, we need to process data to ensure that we are complying with our legal obligations. For example, we must check an employee's or worker's entitlement to work in the UK, deduct tax, comply with health and safety laws and enable staff to take periods of leave to which they are entitled. Safer recruitment procedures in schools also require appropriate checks to be made on people who work with children.

Statutory reporting requirements are included within this section. As is disclosing information to third parties such as the courts or the police where we are legally obliged to do so.

Other examples include:

- We obtain occupational health advice, to ensure that we comply with duties in relation to individuals with disabilities, meet our obligations under health and safety law, and to ensure that employees are receiving the pay or other benefits to which they are entitled.
- We operate and keep a record of other types of leave (including maternity, paternity, adoption, parental and shared parental leave), to allow effective workforce management, to ensure that

the organisation complies with legal duties in relation to leave entitlement, and to ensure that employees are receiving the pay or other benefits to which they are entitled.

Vital interests

This legal basis can be used where, for example, we need to disclose information about you to prevent you or someone else from being seriously harmed or killed. An example can include providing information to a medical professional about you in circumstances where you are unable to provide the information yourself. It may cover an emergency situation.

Legitimate interests

We have a legitimate interest in processing personal data before, during and after the end of the employment or contractual relationship/engagement. Processing employee data allows us to:

- Run recruitment and promotion processes.
- Operate and keep a record of disciplinary and grievance processes, to ensure acceptable conduct within the workplace.
- Operate and keep a record of employee performance and related processes, to plan for career development, and for succession planning and workforce management purposes.
- Operate and keep a record of absence and absence management procedures, to allow effective workforce management and ensure that employees are receiving the pay or other benefits to which they are entitled.
- Obtain occupational health advice, to ensure that we comply with duties in relation to individuals with disabilities, meet our obligations under health and safety law, and to ensure that employees are receiving the pay or other benefits to which they are entitled.
- Operate and keep a record of other types of leave (including maternity, paternity, adoption, parental and shared parental leave), to allow effective workforce management, to ensure that the organisation complies with contractual or legal duties in relation to leave entitlement, and to ensure that employees are receiving the pay or other benefits to which they are entitled.
- Respond to and defend against legal claims or other investigatory processes.

Why do we collect and process individuals' personal data?

We process data relating to those we employ to work at, or otherwise engage to work or support the Trust. The purpose of processing this data is to assist in the running of the Trust. We need all categories of data primarily to enable us to perform our contract with you. We may also process the information to comply with legal obligations and to pursue legitimate interests. Examples include:

- Enabling individuals to be paid and receive benefits.
- Supporting the administration of pensions.
- Ensuring the appropriate tax and national insurance deductions are made from your pay.
- Facilitating safe recruitment and making decisions on your appointment or promotion.
- Determining the terms upon which you work for us.

- Determining whether your engagement is deemed employment for the purposes of Chapter 10 of Part 2 of the Income Tax (Earnings and Pensions) Act 2003 (ITEPA 2003) and providing you with a status determination statement in accordance with the applicable provisions of ITEPA 2003.
- Making decisions about salary reviews and progression.
- Being part of appraisals and performance reviews.
- Supporting the effective performance management of staff.
- Facilitating internal processes and procedures, such as grievance and grievance procedures.
- Complying with processes commissioned by third parties, such as the police or safeguarding lead.
- Ascertaining your fitness to work.
- Managing sickness absence.
- Complying with health and safety obligations.
- Preventing fraud.
- Making ongoing decisions about your employment or engagement.
- Ensuring IT security and preventing unauthorised use of systems.
- Dealing with any legal disputes or complaints, including parental or external complaints.
- Complying with training requirements.
- Improving the management of workforce data across the sector.
- Informing our recruitment and retention policies.
- Allowing better financial modelling and planning.
- Enabling ethnicity and disability monitoring.
- Supporting the work of the School Teachers' Review Body.
- Checking to see if you are legally entitled to work within the UK.

What data do we hold on you?

The personal data we hold regarding you can include, but is not limited to, information such as:

- Your name and address.
- Email address and telephone number.
- Date of birth.
- Gender.
- Marital status.

- Emergency contacts.
- Your nationality and entitlement to work in the UK.
- Bank details.
- National insurance number.
- Your employment contract(s).
- Salary and benefits.
- Pension details and insurance cover.
- Your hours and days of work.
- Details of periods of leave taken by you, such as holiday, sickness, maternity/paternity leave or other leave and the reasons.
- Qualifications and skills.
- Work experience and employment history.
- Information about your criminal record.
- Your disciplinary or grievance records.
- Appraisals and related correspondence.
- Details of medical or health conditions.
- Disability status.
- Records of any reasonable adjustments.
- Equal opportunities monitoring information.

Any staff member engaged by us wishing to see a copy of the information about them that we hold should make a Subject Access Request to their Headteacher or to the DPO.

How do we obtain personal data?

We may collect this information in a variety of ways. For example, data might be collected through:

- Application forms, CVs or resumés.
- Your passport or other identity documents, such as your driving licence.
- From third parties such as the Disclosure and Barring Service (DBS) in carrying out safeguarding checks.
- Forms completed by you at the start of or during your employment or engagement with us (such as benefit nomination forms).
- Correspondence with you.
- Interviews, meetings or other assessments.

- Previous employers, agencies, local authorities.

We will not share information about those engaged at the Trust with third parties unless the law or our policies allows us to. In circumstances where consent is the basis for processing, such as with references, we will not share your data with third parties unless we have your consent.

We are required, by law, to pass certain information about staff or those engaged by us to specified external bodies, such as our local authority (LA) and the Department for Education (DFE), so that they are able to meet their statutory obligations.

In some cases, the Trust may collect personal data about you from third parties, such as references supplied by former employers, information from employment background check providers, information from credit reference agencies and information from criminal records checks permitted by law.

On some occasions, the school will process your personal data for the performance of a contract that it may hold with a third party. For example, a data security contract with a third-party IT services provider or as part of cloud-based storage.

Who has access to your personal data?

Your personal data may be shared internally with other members of staff in order for them to perform their roles. This can include sharing personal data with the senior leadership team, governors, trustees, HR (including payroll), your line manager, managers and IT staff. We may also share your personal data with third parties for the reasons already provided, but can include when obtaining background checks as part of safer recruitment guidelines, pre-employment references and criminal records checks from the DBS. The school may also share your data with third parties in the context of a TUPE transfer.

We share your data with third parties that process data on our behalf, for example, in connection with payroll, the provision of benefits such as your pension and the provision of occupational health services. Throughout these processes we maintain strict confidentiality and only process and retain the personal data for as long as is necessary in accordance with our retention schedule and the processing purposes we state.

We also share personal data, including special category data, with our external HR providers for the purposes of obtaining legal advice and support.

The privacy notices for the organisations we share your data with are:

- [VWV LLP Transparency Notice](#)
- [Octavo Privacy Notice](#)

Sending information to other countries

With cloud-based storage and some other services sometimes being supplied outside the UK, personal data can be sent to other jurisdictions.

Our servers and storage systems are based in the EU or the European Economic Area (EEA) and we have ensured that appropriate safeguards are in place to protect your personal data.

Automated decision-making and profiling

We do not make automatic decisions or undertake automated decisions regarding individuals to evaluate certain information about an individual (profiling).

Special categories of personal data

We must also comply with an additional condition where we process special categories of personal data. These special categories include: personal information revealing racial or ethnic origin, political opinions, religious or philosophical beliefs, genetic information, biometric information, health information, and information about sex life or orientation.

Some special categories of personal data, such as information about health or medical conditions, are processed to comply with employment law and to ensure compliance with legal health and safety obligations (such as those in relation to employees with disabilities). This can include assessing your fitness and may be required to ascertain certain contractual benefits, such as maternity pay, sick pay or pension benefits if, for example, permanent ill health is considered.

The Trust also processes other special categories of personal data, such as information about ethnic origin, sexual orientation or religion or belief. This is done for the purposes of equal opportunities monitoring and in accordance with its Public Sector Equality Duty in accordance with the Equality Act.

[In addition, we will use trade union membership information to pay trade union premiums, register the status of a protected employee and to comply with employment law obligations.]

Some of the reasons we process such data on employees include:

- Legal claims. The processing is necessary for the establishment, exercise or defence of legal claims. This allows us to share information with our legal advisers and insurers.
- Medical purposes. This includes medical treatment and the management of healthcare services.
- Where it is in the public interest, such as for equal opportunities monitoring.

How do we protect individuals' personal data?

We take the security of your personal data very seriously. We have internal policies and controls in place to try to ensure that data is not lost, accidentally destroyed, misused or disclosed, and is not accessed except by our employees in the performance of their duties.

Where we engage third parties to process personal data on our behalf, they do so based on written instructions, are under a duty of confidentiality and are obliged to implement appropriate technical and organisational measures to ensure the security of data. For example, we ensure the school uses encrypted devices, uses passwords, virus protection and has appropriate firewalls.

How long do we keep your personal data?

We will only retain the data we collect for as long as is necessary to satisfy the purpose for which it has been collected.

We will keep certain information after you have left the school. A copy of our Retention Schedule is available from the Trust website or from the Data Protection Officer.

What rights do you have in relation to your information?

You will have the following rights in relation to your personal data. Some of these rights are new since 25 May 2018.

- The right of access to the personal data and supplementary information. This right is to enable you to be aware of and verify the lawfulness of the personal data we are processing.

- The right to rectification. This right allows you to have personal data rectified if it is inaccurate or incomplete.
- The right to erasure. This is also known as the 'right to be forgotten'. This is not an absolute right and applies in specific circumstances.
- The right to restrict processing. This right applies in circumstances where, for example, the data subject contests the accuracy of the data or challenges the public interest or legitimate interest basis. Further guidance can be obtained from the ICO's website.
- The right to data portability. This allows individuals to obtain and reuse their personal data for their own purposes.
- The right to object. Individuals have the right to object to:
 - Processing based on legitimate interests or the performance of a task in the public interest/exercise of official authority.
 - Direct marketing.
 - Processing for scientific/historical research and statistics.
- Rights in relation to automated decision making and profiling.

Further guidance and advice on the above rights can be obtained from the ICO's website <https://ico.org.uk/for-organisations/guide-to-the-general-data-protection-regulation-gdpr/individual-rights/>.

If you have a concern about the way we are collecting or using your personal data, we ask that you raise your concern with us in the first instance by contacting the Data Protection Officer. If you would like to exercise any of the above rights please contact the Data Protection Officer who will send you our Data subject's rights application form.

Alternatively, you can contact the Information Commissioner's Office at <https://ico.org.uk/concerns> to raise any issues you have.